

STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING **ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

10/570,487
IFWP
10/25/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

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- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER** **VERSION 4.4.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

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Raw Sequence Listing Error Summary

ERROR DETECTED

SUGGESTED CORRECTION

SERIAL NUMBER:

10/570,487

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 ☐ Wrapped Nucleics
Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2 ☐ Invalid Line Length The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3 ☐ Misaligned Amino
Numbering The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4 ☐ Non-ASCII The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5 ☐ Variable Length Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6 ☐ PatentIn 2.0
"bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s). Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7 ☐ Skipped Sequences
(OLD RULES) Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
This sequence is intentionally skipped

Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8 ☐ Skipped Sequences
(NEW RULES) Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence.
<210> sequence id number
<400> sequence id number
000
- 9 ☐ Use of n's or Xaa's
(NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 10 ☐ Invalid <213>
Response Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
- 11 ☐ Use of <220> ~~Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses~~
Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12 ☐ PatentIn 2.0
"bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13 ☐ Misuse of n/Xaa "n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid

AMC - Biotechnology Systems Branch - 09/09/2003

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IFWP

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/570,487

DATE: 10/25/2006

TIME: 08:47:46

Input Set : A:\sequence Listing.txt

Output Set: N:\CRF4\10252006\J570487.raw

5 <110> APPLICANT: Phogen Limited
 7 O'Hare, Peter FJ
 9 Sunassee, Kavitha R
 11 Brewis, Neil D
 15 <120> TITLE OF INVENTION: Delivery of Substances to Cells
 19 <130> FILE REFERENCE: 620-421
 23 <140> CURRENT APPLICATION NUMBER: 10/570,487
 25 <141> CURRENT FILING DATE: 2006-03-03
 28 <150> PRIOR APPLICATION NUMBER: PCT/GB2004/003854
 30 <151> PRIOR FILING DATE: 2004-09-09
 34 <150> PRIOR APPLICATION NUMBER: GB 0321311.3
 36 <151> PRIOR FILING DATE: 2003-09-12
 40 <160> NUMBER OF SEQ ID NOS: 3
 44 <170> SOFTWARE: PatentIn version 3.1
 48 <210> SEQ ID NO: 1
 50 <211> LENGTH: 5
 52 <212> TYPE: PRT
 54 <213> ORGANISM: Artificial sequence
 58 <220> FEATURE:
 60 <223> OTHER INFORMATION: Label
 62 <400> SEQUENCE: 1
 64 Lys Arg Arg Arg Arg
 65 1 5
 68 <210> SEQ ID NO: 2
 70 <211> LENGTH: 8
 72 <212> TYPE: PRT
 74 <213> ORGANISM: Artificial sequence
 78 <220> FEATURE:
 80 <223> OTHER INFORMATION: Epitope of ovalbumin
 82 <400> SEQUENCE: 2
 84 Ser Ile Ile Asn Phe Glu Lys Leu
 85 1 5
 88 <210> SEQ ID NO: 3
 90 <211> LENGTH: 20
 92 <212> TYPE: DNA
 94 <213> ORGANISM: Artificial sequence
 98 <220> FEATURE:
 100 <223> OTHER INFORMATION: Oligonucleotide
 102 <400> SEQUENCE: 3
 103 cccccaccac ttccctctc

You Not Comply
 Floppy Diskette Needed

(pg.1)

What is the source
 of genetic material?

INVALID
 Response

See
 item #11

On error
 Summary
 Sheet.

20

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/570,487

DATE: 10/25/2006

TIME: 08:47:48

Input Set : A:\sequence Listing.txt

Output Set: N:\CRF4\10252006\J570487.raw